



2681

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q68512

Naoto MAEDA, et al.

Appln. No.: 10/075,395

Group Art Unit: 2681

Confirmation No.: 7192

Examiner: Unknown

Filed: February 15, 2002

For: MOBILE AGENT TRANSFER SYSTEM, METHOD AND PROGRAM FOR  
PORTABLE DEVICES

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Unexamined Patent Application Publication H9-91143,  
published April 4, 1997.
2. Japanese Unexamined Patent Application Publication H10-63511,  
published March 6, 1998.
3. Japanese Unexamined Patent Application Publication H11-282686,  
published October 15, 1999.

# INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/075,395

4. Japanese Unexamined Patent Application Publication 2000-259417,  
published September 22, 2000.
5. Japanese Unexamined Patent Application Publication 2000-47772,  
published February 18, 2000.
6. Japanese Unexamined Patent Application Publication H10-187456,  
published July 21, 1998.
7. Japanese Unexamined Patent Application Publication 2000-155730,  
published June 6, 2000.
8. TANIGUCHI, Tetsuya, "Examples of the Practical Uses of Mobile Computing,  
Number 4: System Architecture Using Mobile Agent Software," Business  
Communication, February 1998, volume 35, number 2, p. 71-76.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a corresponding Japanese

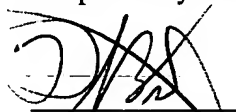
INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: 10/075,395

Office Action dated April 26, 2005 and an English translation of the pertinent portions thereof which cites such documents and indicates the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

  
\_\_\_\_\_  
Howard L. Bernstein  
Registration No. 25,665

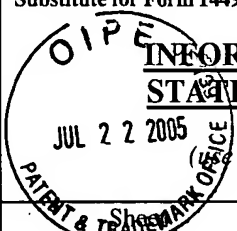
SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: July 22, 2005

|                                                                                                                                                                                    |  |  |  |                          |                   |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|--------------------------|-------------------|
| Substitute for Form 1449 A & B/PTO                                                                                                                                                 |  |  |  | <i>Complete if Known</i> |                   |
|  <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b><br/>(Use as many sheets as necessary)</p> |  |  |  | Application Number       | 10/075,395        |
|                                                                                                                                                                                    |  |  |  | Confirmation Number      | 7192              |
|                                                                                                                                                                                    |  |  |  | Filing Date              | February 15, 2002 |
|                                                                                                                                                                                    |  |  |  | First Named Inventor     | Naoto MAEDA       |
|                                                                                                                                                                                    |  |  |  | Art Unit                 | 2681              |
|                                                                                                                                                                                    |  |  |  | Examiner Name            | Unknown           |
| Sheet <u>1</u> of <u>1</u>                                                                                                                                                         |  |  |  | Attorney Docket Number   | Q68512            |

| U.S. PATENT DOCUMENTS |                       |                 |                                      |                                             |                                                 |
|-----------------------|-----------------------|-----------------|--------------------------------------|---------------------------------------------|-------------------------------------------------|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number |                                      | Publication Date <sup>7</sup><br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|                       |                       | Number          | Kind Code <sup>2</sup><br>(if known) |                                             |                                                 |
|                       |                       | US              |                                      |                                             |                                                 |
|                       |                       | US              |                                      |                                             |                                                 |
|                       |                       | US              |                                      |                                             |                                                 |
|                       |                       | US              |                                      |                                             |                                                 |
|                       |                       | US              |                                      |                                             |                                                 |
|                       |                       | US              |                                      |                                             |                                                 |
|                       |                       | US              |                                      |                                             |                                                 |
|                       |                       | US              |                                      |                                             |                                                 |
|                       |                       | US              |                                      |                                             |                                                 |

| FOREIGN PATENT DOCUMENTS |                       |                           |                     |                                      |                                |                                                    |                          |
|--------------------------|-----------------------|---------------------------|---------------------|--------------------------------------|--------------------------------|----------------------------------------------------|--------------------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   |                     |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Translation <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |                                |                                                    |                          |
|                          |                       | JP                        | H9-91143            | A                                    | 04-04-1997                     |                                                    |                          |
|                          |                       | JP                        | H10-63511           | A                                    | 03-06-1998                     |                                                    |                          |
|                          |                       | JP                        | H11-282686          | A                                    | 10-15-1999                     |                                                    |                          |
|                          |                       | JP                        | 2000-259417         | A                                    | 09-22-2000                     |                                                    |                          |
|                          |                       | JP                        | 2000-47772          | A                                    | 02-18-2000                     |                                                    |                          |
|                          |                       | JP                        | H10-187456          | A                                    | 07-21-1998                     |                                                    |                          |
|                          |                       | JP                        | 2000-155730         | A                                    | 06-06-2000                     |                                                    |                          |
|                          |                       |                           |                     |                                      |                                |                                                    |                          |
|                          |                       |                           |                     |                                      |                                |                                                    |                          |
|                          |                       |                           |                     |                                      |                                |                                                    |                          |
|                          |                       |                           |                     |                                      |                                |                                                    |                          |
|                          |                       |                           |                     |                                      |                                |                                                    |                          |

| NON PATENT LITERATURE DOCUMENTS |                       |                                                                                                                                                                                                                                                                  |                          |
|---------------------------------|-----------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation <sup>6</sup> |
|                                 |                       | TANIGUCHI, Tetsuya, "Examples of the Practical Uses of Mobile Computing, Number 4: System Architecture Using Mobile Agent Software," Business Communication, February 1998, volume 35, number 2, p. 71-76.                                                       |                          |
|                                 |                       |                                                                                                                                                                                                                                                                  |                          |
|                                 |                       |                                                                                                                                                                                                                                                                  |                          |
|                                 |                       |                                                                                                                                                                                                                                                                  |                          |
|                                 |                       |                                                                                                                                                                                                                                                                  |                          |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.